

Proceedings of the 2nd Governing Body (GB) Meeting of AS-CFMS

The 2nd Governing Body (GB) Meeting of Assam Society for Comprehensive Financial Management System (AS-CFMS) is held on 10/07/23 under the Chairmanship of the Hon'ble Chief Minister, Assam and Chairman, GB, AS-CFMS in the Conference Hall of the CM Block, Janata Bhawan, Dispur, Assam.

The list of the Members present is at Annexure – A.

The GB discussed on the following **Agenda items**:

1. Members of GB Meeting of AS-CFMS
2. Confirmation of the Resolution of the First GB Meeting held on 13/08/20
3. Action Taken Report (ATR) of First GB Meeting held on 13/08/20
4. World Bank assisted ASPIRe Project
5. Audited Accounts of the Society up to 2021-22
6. Progress of the ASPIRe Project
7. Annual Work Plan
8. Appraisal regarding major Procurement Activities
9. Approval of New Procurements
10. Contract Amendment / Extension
11. Approval on BSNL Proposal
12. Amendment of Delegation of Powers under AS-CFMS
13. Human Resource Matters
14. Miscellaneous Items
15. ASPIRe 2.0

At the outset Shri Jayant Narlikar, IAS, Commissioner & Secretary to the Government of Assam, Finance Department and Project Director, AS-CFMS welcomed the Chairman, Members and Special invitees of the GB, AS-CFMS. Thereafter, the Project Director, AS-CFMS made a power point presentation to discuss agenda wise items.

Agenda Item No. 1	Members of GB Meeting of AS-CFMS: PD, AS-CFMS apprised the GB about the members invited for the 2nd GB Meeting. Resolution: <i>The GB noted the same</i>
Agenda Item No. 2	Confirmation of the Resolution of the First GB Meeting held on 13/08/20: PD, AS-CFMS apprised the GB about the Resolutions of the First GB Meeting held on 13/08/20. Resolution: <i>The GB noted the same</i>
Agenda Item No. 3	Action Taken Report (ATR) of First GB Meeting held on 13/08/20. Resolution: <i>The GB noted the same</i>
Agenda Item No. 4	Overview of World Bank assisted ASPIRe Project 4.1: ASPIRe Project: An overview: PD, AS-CFMS apprised the GB about the overview of the ASPIRe Project 4.2: ASPIRe: Components: PD, AS-CFMS apprised the GB about the three project components 2.1: Strengthening Public Finance Institutional Capacity 2.1.1: Strengthening cash and debt management

	<p>2.1.2: Strengthening Public Procurement Framework and scaling up e-procurement</p> <p>2.1.3: Institutional strengthening of Finance Department</p> <p>2.1.4: Training and capacity building to implementing departments</p> <p>2.2: Strengthening Expenditure and Revenue Information Systems</p> <p>2.2.1: Design, development, and implementation of Financial Management Information Systems</p> <p>2.2.2: Enhancing e-collection and e-payment systems</p> <p>2.2.3: Designing/upgrading information systems and institutional strengthening in Office of the Commissioner of Taxes</p> <p>2.2.4: Design, development, and implementation of workflow-based information system in Excise Department</p> <p>2.2.5: Digital Infrastructure for DBT Schemes</p> <p>2.3: Project Management, Monitoring and Evaluation and Coordination</p> <p>Further, GB was apprised of the Project Development Objectives and Indicators, which is placed at Annexure B.</p> <p>Resolution: <i>The GB noted the same.</i></p>
Agenda Item No. 5	<p>Audited Accounts of the Society up to 2021-22:</p> <p>The GB was apprised of the following in the meeting–</p> <ul style="list-style-type: none"> • Completion of the Statutory Audit of AS-CFMS till Financial Year 2021-22. • Initiation of the CAG Audit process of AS-CFMS as per instruction of the 5th Executive Meeting <p>Resolution: <i>The GB noted the same.</i></p>
Agenda Item No. 6	<p>Progress of ASPIRe Project</p> <p>GB was apprised of the progress of the project through the presentation which is placed at Annexure C.</p> <p>Resolution: <i>The GB noted the same.</i></p>
Agenda Item No. 7	<p>Annual Work Plan for FY 23-23</p> <p>Total Estimated Cost for the Financial Year 2023-24 is INR 69.60 cr., which is inclusive of expenditure to be incurred for the World Bank funded ASPIRe Project as well as other activities to be taken up by the Society.</p> <p>The details of Annual Work Plan of AS-CFMS for the Financial Year 2023-24 that was presented before the GB is shown in ANNEXURE D.</p> <p>Resolution: <i>The GB approved the same.</i></p>
Agenda Item No. 8(a)	<p>Appraisal regarding major Procurement Activities</p> <p>A table comprising of the status of various on-going procurements was presented before the GB, which is placed at ANNEXURE E.</p> <p>Resolution: <i>The GB noted the same.</i></p>
Agenda Item No. 8(b)	<p>Approval of New Procurements</p> <p>A table comprising of the various procurement activities, that is proposed to be undertaken was presented before the GB as below:</p>

SI No	Activity	ASPIRe/Non-ASPIRe	Amount (in INR lakhs)
1	Identifying Anomalous dealers in GST using Big Data Analytics (On single source basis through IIT Hyderabad) (CoT) for 5 years	ASPIRe and Non-ASPIRe	1281
2	Help Desk for DIDS	Non-ASPIRe	79
3	Security Audit of newly developed modules (for CoT)	Non-ASPIRe	30
4	Aadhar Data Vault implementation for Tax (CoT) and Excise (CoE)	ASPIRe and Non-ASPIRe	3
5	E-Sign implementation in Tax (CoT), Excise (CoE)	ASPIRe and Non-ASPIRe	35
6	Infrastructure procurement for e-office implementation for Tax (CoT)	ASPIRe and Non-ASPIRe	100
7	Security Audit and Load Testing Tool for Application Audit (CoT)	ASPIRe	70
8	Disaster Recovery Site collocation charges for One year (CoT)	ASPIRe	28
9	Managed Services for FMIS Infrastructure for 1 Year (DoAT)	ASPIRe	15
10	All in one Laser jet printers/ scanners for Treasuries e-offices and others (DoAT)	Non-ASPIRe	90
11	DR Service Charges of CTMIS (DoAT)	Non-ASPIRe	30
12	Dark Fibre connection (Miscellaneous)	Non-ASPIRe	2
13	SSL Certificate (Miscellaneous)	Non-ASPIRe	2
14	Tableau License for Excise (CoE)	ASPIRe	5
15	Security Audit for Excise AERMS (CoE)	ASPIRe/Non-ASPIRe	40
16	Disaster Recovery Site co-location for one year (CoE)	ASPIRe	28
17	E-Sign implementation in Finance Department	ASPIRe and Non-ASPIRe	15
18	Setting up Data Analytics capacity within Finance Department	ASPIRe and Non-ASPIRe	50
19	Onboarding of an Expert Agency to enable restructuring of AFS, AES, Assam Accounts /Audit services in F.D.	Non-ASPIRe	140
20	Pool Car service to the officials of AS-CFMS	ASPIRe	28
21	FMS service to AS-CFMS and Finance Department	ASPIRe	15

Resolution: The GB approved the same. Chairperson, GB further directed that the proposal of IIT-Hyderabad for Identifying Anomalous dealers in GST using Big Data Analytics may be placed before Hon'ble Cabinet immediately.

Agenda Item No. 8(c)	<p>(i) Contract Amendment with non-financial implication</p> <p>Approval was sought for following proposals of contract amendment /extension with no financial implication:</p> <ol style="list-style-type: none">1. Security Audit for DIDS and FMIS to be extended for one more Year from date of expiry of the Contract,2. Source Code Audit & Database Audit scope to be enhanced to include ATReMS, AERMS and allied system (for CoT) as well, and3. CSI- Technical Training Partner for one more Year from date of expiry of the contract. <p>Resolution: <i>The GB approved the same.</i></p> <p>(ii) Contract Amendment / extension with financial implication</p> <table><tr><th>Activity</th><th>Vendor name</th><th>ASPIRe/Non-ASPIRe</th><th>Amount (in INR Lakhs)</th></tr><tr><td>Non-GST Software maintenance for one year (for CoT)</td><td>TCS</td><td>Non-ASPIRe</td><td>550</td></tr><tr><td>Extension of Cloud services for hosting Excise e-Governance solution for maximum one year</td><td>Sify</td><td>Non-ASPIRe</td><td>144</td></tr><tr><td>Extension of Oracle Program Technical Support Service from March, 2023 to Feb, 2024 (CoE)</td><td>Oracle</td><td>ASPIRe and Non-ASPIRe</td><td>50 and 50</td></tr><tr><td>Contract Amendment of System Integrator FMIS<ul style="list-style-type: none">• Extension of helpdesk services from Sept, 2022 to May, 2024.• Extension of O&M services by SI upto Feb., 2025</td><td>Kran Consulting</td><td>Non-ASPIRe</td><td>900</td></tr><tr><td>Extension/Amendment of Contract for PMC FMIS for one year</td><td>Deloitte</td><td>Non-ASPIRe</td><td>200</td></tr><tr><td>Amending scope of procurement for Supply, Installation, Commissioning of Server, Storage, Software and related services at the Data Center, Kar Bhawan, Assam</td><td>AMTRON</td><td>ASPIRe</td><td>170</td></tr><tr><td>Contract extension for CA firm for internal accounts managements</td><td>R. Das & Co</td><td>ASPIRe</td><td>10</td></tr></table>	Activity	Vendor name	ASPIRe/Non-ASPIRe	Amount (in INR Lakhs)	Non-GST Software maintenance for one year (for CoT)	TCS	Non-ASPIRe	550	Extension of Cloud services for hosting Excise e-Governance solution for maximum one year	Sify	Non-ASPIRe	144	Extension of Oracle Program Technical Support Service from March, 2023 to Feb, 2024 (CoE)	Oracle	ASPIRe and Non-ASPIRe	50 and 50	Contract Amendment of System Integrator FMIS <ul style="list-style-type: none">• Extension of helpdesk services from Sept, 2022 to May, 2024.• Extension of O&M services by SI upto Feb., 2025	Kran Consulting	Non-ASPIRe	900	Extension/Amendment of Contract for PMC FMIS for one year	Deloitte	Non-ASPIRe	200	Amending scope of procurement for Supply, Installation, Commissioning of Server, Storage, Software and related services at the Data Center, Kar Bhawan, Assam	AMTRON	ASPIRe	170	Contract extension for CA firm for internal accounts managements	R. Das & Co	ASPIRe	10
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	<p>Resolution: <i>The GB approved the same. Chairperson, GB directed that the Finance Department should have a policy, wherein for e-Governance solutions, O&M cost should be discovered during the bidding process itself for the full duration of life of the Solution.</i></p>																																																																				
Agenda Item No. 8(d)	<p>Approval on BSNL Proposal The proposal for onboarding with BSNL for a period of 5 years, having total financial implication of approx. INR 19 Cr which will be funded through non-ASPIRe as per the existing modalities was put forwarded for approval.</p> <table><tr><th colspan="4">For Commissionerate of Taxes</th></tr><tr><th colspan="4">New Services</th></tr><tr><th>Items</th><th>Unit Price (for 1 Year)</th><th>Quantity</th><th>Total Implication (5 Years)</th></tr><tr><td>10 MBPS ILL</td><td>1,35,000</td><td>32</td><td>2,16,00,000</td></tr><tr><td>200 MBPS ILL</td><td>9,45,000</td><td>1</td><td>47,25,000</td></tr></table> <table><tr><th colspan="6">For Commissionerate of Taxes</th></tr><tr><th colspan="6">Upgraded Services</th></tr><tr><th>Items (old) 2017-22</th><th>Upgraded Items Proposed (2022-27)</th><th>Unit Price (2017-22)</th><th>Unit Price (2022-27)</th><th>Quantity</th><th>Total Addl. Implication (5 Years)</th></tr><tr><td>2 MBPS ILL</td><td>10 MBPS ILL</td><td>1,57,648</td><td>2,30,000</td><td>32</td><td>1,15,76,320</td></tr><tr><td>50 MBPS ILL</td><td>200 MBPS ILL</td><td>11,62,666</td><td>71,75,000</td><td>1</td><td>13,61,670</td></tr></table> <table><tr><th colspan="6">Directorate of Accounts & Treasuries</th></tr><tr><td>2 MBPS ILL</td><td>10 MBPS ILL</td><td>1,57,648</td><td>2,30,000</td><td>83</td><td>3,00,26,080</td></tr><tr><td>100 MBPS ILL</td><td>500 MBPS ILL</td><td>19,82,848</td><td>28,00,000</td><td>1</td><td>40,85,760</td></tr></table> <p>Resolution: <i>The GB directed to opt for open competitive bidding, excluding the present secondary connectivity provider to find out Primary connectivity network operator.</i></p>	For Commissionerate of Taxes				New Services				Items	Unit Price (for 1 Year)	Quantity	Total Implication (5 Years)	10 MBPS ILL	1,35,000	32	2,16,00,000	200 MBPS ILL	9,45,000	1	47,25,000	For Commissionerate of Taxes						Upgraded Services						Items (old) 2017-22	Upgraded Items Proposed (2022-27)	Unit Price (2017-22)	Unit Price (2022-27)	Quantity	Total Addl. Implication (5 Years)	2 MBPS ILL	10 MBPS ILL	1,57,648	2,30,000	32	1,15,76,320	50 MBPS ILL	200 MBPS ILL	11,62,666	71,75,000	1	13,61,670	Directorate of Accounts & Treasuries						2 MBPS ILL	10 MBPS ILL	1,57,648	2,30,000	83	3,00,26,080	100 MBPS ILL	500 MBPS ILL	19,82,848	28,00,000	1	40,85,760
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Agenda Item No. 9 (a)	<p>Amendment of Delegation of Powers under AS-CFMS The GB was apprised of the fact that PD presently is sanctioning and releasing bills upto INR 2 cr as per earlier EC decision, Vice-Chairperson, EC upto INR 5 cr and EC upto INR 10 cr. But the <i>Society Bye Laws</i> does not provide for the same and thus, requires amendment. Therefore, the following two proposals are placed before GB:</p> <ul style="list-style-type: none">• Authority having a particular level of sanctioning power must have concurrent power to approve expenditure up to that limit. Hence, the																																																																				

	<p>related provisions in the Bye laws are proposed to be suitably amended with retrospective effect, and</p> <ul style="list-style-type: none">Funds Approval/sanction/release procedure for AS-CFMS laid down in Bye laws should be similar for ASPIRe and non-ASPIRe Heads. <p>Resolution: <i>The GB approved both the Proposals for amending Bye Laws suitably.</i></p>												
Agenda Item No. 9(b)	<p>The following amendments in the Bye-laws of the Society were proposed:</p> <table><tr><th>Activity</th><th>Condition</th><th>Proposed Action</th><th>Justification</th></tr><tr><td>Contract amendment (or renewal orders)</td><td>With no financial commitment</td><td>Approval at PD Level</td><td>Contract amendments are only for signed contracts, which have already been approved by EC. Hence, for those amendments or renewal orders without financial implications, the same may be approved at PD level.</td></tr><tr><td>Contract amendment (or renewal orders)</td><td>Additional financial commitment</td><td>As per Financial Sanction power of the competent level</td><td>Contract amendments are only for signed contracts, which have already been approved by EC. Hence, upto the limit of financial sanction power, the same may be approved at the respective levels.</td></tr></table> <p>Resolution: <i>The GB approves the acceptance of contract amendments without financial implications only at the level of Principal Secretary. The power of contract amendment or renewals orders with financial implications is approved and made coterminous with the level of financial sanctioning power of the competent level in the Society.</i></p>	Activity	Condition	Proposed Action	Justification	Contract amendment (or renewal orders)	With no financial commitment	Approval at PD Level	Contract amendments are only for signed contracts, which have already been approved by EC. Hence, for those amendments or renewal orders without financial implications, the same may be approved at PD level.	Contract amendment (or renewal orders)	Additional financial commitment	As per Financial Sanction power of the competent level	Contract amendments are only for signed contracts, which have already been approved by EC. Hence, upto the limit of financial sanction power, the same may be approved at the respective levels.
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Agenda Item No. 10	<p>Human Resource:</p> <p>The GB was apprised of the current strength of Contractual Staff/ Consultants/ Advisor under AS-CFMS. At present this stands at 63 (ASPIRe 57 + non-ASPIRe 6) with the vacancy of 40 numbers. The monthly remuneration for the current available strength is INR 35 L. The details of newly created vacancy is placed Annexure F.</p> <p>The following proposals were placed before the GB for approval–</p> <ul style="list-style-type: none">Post facto approval of nine posts, i.e. Senior Software Developer (1), Software Developer (3), Technical Support Staff (3), Sectional Assistant (1) and Computer Operator cum Assistant (1)Creation of new posts (38) and increase in sanctioned strength of the existing postsContinuation of the existing posts under ASPIRe beyond the project												

	<p>period.</p> <ul style="list-style-type: none"> • A HR committee comprising of Hon'ble Finance Minister, Principal Secretary Finance, Project Director AS-CFMS, Additional PD AS-CFMS, HR Officer to look into restructuring / enhancement of current pay scale to make it market competitive. • Project Director, AS-CFMS to be given the discretion to reassess the continuation of Man-in-Position and accordingly, vacancies, if any, would be advertised subsequently. <p>Resolution:</p> <ul style="list-style-type: none"> • <i>GB approved 9 nos. of positions in the form of post-facto approval, i.e. the positions of Senior Software Developer (1), Software Developer (3), Technical Support Staff (3), Sectional Assistant (1) and Computer Operator cum Assistant (1).</i> • <i>Chairperson directed that project may continue with the already created positions for three months beyond the project end date. However, a Cabinet note be placed for approval of additional positions for sustaining already developed modules like DIDS, FMIS, Excise solution, Tax solution amongst others. The note will also entail the period for which these positions will be required and their nature – permanent / temporary, pay scale / remuneration. There should be clarity regarding future of the Society and its responsibilities in time to come.</i>
Agenda Item No. 11	<p>Miscellaneous Items:</p> <p>Proposal was placed before the GB for</p> <ul style="list-style-type: none"> • Society Renewal for AS-CFMS, and • to apply for 12A and 80 G Approval under Income Tax Act 1956 for smoother functioning of the Society after the end of the ASPIRe project. <p>Resolution: <i>The GB has approved the proposal.</i></p>
Agenda Item No. 12	<p>Way Ahead for Sustaining Reforms- ASPIRe 2.0</p> <p>Resolution: <i>The GB asked to take up this proposal with CMO separately.</i></p>

In addition to the agenda placed before the GB, the Chairperson, GB suggested few vital action points to be taken up by AS-CFMS immediately:

1	A robust Data Analytics capability needs to be built within the Finance Department to have Debt Strategy before the beginning of each Financial Year. This strategy should focus upon current RoI, Decision of RBI MPC, available borrowing instruments and investment options etc. to have credible actionable Borrowing calendar for the Year. There should be provision for Mid Term review within it.
2	There is a need for data mining of IFMIS generated reports so that in a stipulated format reports analyzing Committed Expenditure be generated. This will help in detecting anomalies, if any and better Resource management.
3	In line with the CAG Audit, a concurrent CA audit should be conducted for Directorates, Corporations, Societies, Boards, and other Field offices, fully or partially funded by State budget. A mechanism may be developed for sending

	these Audit Reports to Finance Department periodically for analysis and review.
4	In case of CAG audit para regarding tax avoidance, fraud or evasion, the matter shall be mandatorily forwarded to Vigilance and Bureau of Investigation Economic Offence (BIEO) for detailed study and further action.
5	There are several PSUs / Corporation / Societies/Autonomous Bodies etc., fully, or partially funded from State Budget. A Cost- benefit analysis be done to review their utility as an autonomous organization, purpose being fulfilled by them , overall cost for the Government and need for their reconstructing in changed context.
6	Deliberation should be done for further decentralization of financial powers, especially under FoC provision. This needs to be delegated below to Work Divisions or non-HoD field offices level in order to ensure speedy implementation development work. Resolution: <i>Project Director assured the House to implement all the above directives in letter and spirit at an early date.</i>

The meeting ended with a vote of thanks to the Chair.

(Dr. Himanta Biswa Sarma)
Chief Minister, Assam